

Sheet 1 of 4

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Attorney Docket No.  
016887/1026

SERIAL NO.  
Unassigned

APPLICANT  
Tomoharu TANAKA et al.

FILING DATE:  
Concurrently herewith

GROUP: Unassigned

760 U.S. PTO  
09/722474  
11/28/80

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ju	A1	4,755,970	7/5/88	SCHRENK	365	201	
	A2	4,758,988	7/19/88	KUO	365	189	
	A3	4,763,305	8/9/88	KUO	365	200	
	A4	4,811,294	3/7/89	KOBAYASHI et al.	365	189	
	A5	4,881,200	11/14/89	URAI	365	189.04	
	A6	4,937,787	6/26/90	KOBATAKE	365	94	
	A7	4,943,948	7/24/90	MORTON et al.	365	201	
	A8	4,972,372	11/20/90	UENO	365	201	
	A9	5,077,691	12/31/91	HADDAD et al.	365	218	
	A10	5,086,413	2/4/92	TSUBOI et al.	365	230.01	
	A11	5,109,257	4/28/92	KONDO	357	23.5	
	A12	5,109,359	4/28/92	SAKAKIBARA et al.	365	189.07	
ju	A13	5,124,945	6/23/92	SCHRECK	365	185	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)


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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ju	A14	5,134,583	7/28/92	MATSUO et al.	365	200	
	A15	5,136,544	8/4/92	ROZMAN et al.	365	201	
	A16	5,142,496	8/25/92	VAN BUSKIRK	365	201	
	A17	5,148,398	9/15/92	KOHNO	365	201	
	A18	5,153,880	10/6/92	OWEN et al.	371	10.2	
	A19	5,163,021	11/10/92	MEHROTRA et al.	365	185	
	A20	5,172,339	12/15/92	NOGUCHI et al.	365	201	
	A21	5,299,162	3/29/94	KIM et al.	365	201	
	A22	5,355,340	10/11/94	COKER et al.	365	200	
	A23	5,361,277	11/1/94	GROVER	375	107	
	A24	5,428,571	6/27/95	ATSUMI et al.	365	189.05	
ju	A25	5,483,484	1/9/96	ENDO et al.	365	185.18	

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09/12/2000  
11/28/00  
10760 U.S. PTO

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Vu	A26	5,513,333	4/30/96	KYNETT et al.	395	430	
	A27	5,537,350	7/16/96	LARSEN et al.	365	185.330	
	A28	5,566,105	10/15/96	TANAKA et al.	365	185.22	
	A29	5,574,684	11/12/96	TOMOEDA	365	185.04	
	A30	5,574,688	11/12/96	McCLURE et al.	365	200	
	A31	4,597,062	06/24/86	ASANO et al.	365	201	
	A32	4,819,212	04/04/89	NAKAI et al.	365	230	
	A33	4,858,192	08/15/89	TATSUMI et al.	365	200	
	A34	4,879,689	11/07/89	ATSUMI et al.	365	201	
	A35	4,881,202	11/14/89	TSUJIMOTO et al.	365	200	
	A36	5,025,418	06/18/91	ASOH	365	200	
	A37	5,126,973	06/1992	Garcia GARCIA ET AL.	365	200	
Vu	A38	5,233,610	08/1993	NAKAYAMA	365	200	

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09/12/2004  
11/28/00

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
سو	A39	4,279,024	07/1981	SCHRENK	365	203	
	A40	5,172,338	12/1992	MEHROTRA	365	185	
	A41	5,434,819	07/1995	MATSUO et al.	365	189.07	
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
سو	A43	3-259499	11/91	JAPAN	—	—	
	A44	4-82090	03/92	JAPAN	—	—	
	A45	62-188100	08/87	JAPAN	—	—	
	A46	4-82091	03/92	JAPAN	—	—	
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	A48	WO 90/12400	10/1990	WIPO	—	—	
سو	A49	41 10 371	10/1991	FED. REP. OF GERMANY	—	—	

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سو	A50		M. Momodomi et al, A 4-Mb NAND EEPROM with Tight Programmed V <sub>i</sub> Distribution,
			IEEE Journal of Solid-State Circuits, vol. 26, no. 4, April 1991, pp. 492-495
سو	A51		Patent Abstracts of Japan, vol. 14, no. 381, 16 August 1990, p. 1093.

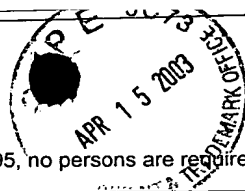
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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Date Submitted: April 15, 2003

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/722,474
Filing Date	November 28, 2000
First Named Inventor	Tomoharu TANAKA et al.
Group Art Unit	2824
Examiner Name	V. Le
Attorney Docket Number	016887/1026

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
8	B1	4,460,982		Gee et al.	07-17-1984	
8	B2	5,321,699		Endoh et al.	06-14-1994	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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